

08/24/01

08-27-01

A / Box / seq

JC99 U.S. PTO

BAKER BOTTS LLP

Please type a plus sign (+) inside this box → ⊕

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	A34606-PCT-USA-A
First Inventor	MAO
Title	METHOD FOR * see attached
Express Mail Label No.	EL866934522US

09/938744
08/24/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. <input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)
2. <input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.
3. <input checked="" type="checkbox"/>	Specification [Total Pages 63] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description <input checked="" type="checkbox"/> Claim(s) [Total Sheets 4] <input checked="" type="checkbox"/> Abstract of the Disclosure [Total Sheets 1]
4. <input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. <input type="checkbox"/>	Oath or Declaration [Total Pages] a. <input type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. <input type="checkbox"/>	Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. <input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. <input type="checkbox"/>	Assignment Papers (cover sheet & document(s))
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. <input type="checkbox"/>	English Translation Document (if applicable)
12. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449
13. <input type="checkbox"/>	Preliminary Amendment
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. <input type="checkbox"/>	Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input checked="" type="checkbox"/>	Other: Submission of Sequence Listing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input checked="" type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No PCT/CN/00036 02/25/2000
Prior application information Examiner		Group Art Unit	

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/>	Customer Number or Bar Code Label	21003	or	<input type="checkbox"/>	Correspondence address below
(Insert Customer No. or Attach bar code label here)					
Name					
Address					
City		State	Zip Code		
Country		Telephone	Fax		

Name (Print/Type)	Rochelle K. Seide	Registration No. (Attorney/Agent)	32,300
Signature	Rochelle K. Seide	Date	08/24/2001

Addendum Sheet 1

*Question 4 Addendum

4.a. Drawings are ☐ formal ☒ informal

* Question 5 Addendum

5.c. ☐ An unsigned oath or declaration is included.

* Question 8 Addendum

8.d. ☐ A sequence submission will follow.

* Question 9 Addendum

9.a. ☐ Assignment documents will follow.9.b. ☐ Assignment documents have been filed in parent application No.

* Question 11 Addendum

11.a. ☐ English translation will follow.

* Question 12 Addendum

12.a. ☐ Copies of IDS citations will follow.

* Question 15 Addendum

15.a. ☒ Certified copies of priority documents will follow.15.b. ☐ Certified copies of priority documents have been filed in parent application No.

Use the space below for additional information

--

Title (continued):

METHOD FOR LARGE SCALE cDNA CLONING AND SEQUENCING BY CIRCULATING HYBRIDIZATION

Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:



Continuation



Divisional



Continuation-in-part (CIP)

of prior application No.

PCT/CN/00036

Prior application information

Examiner

Group Art Unit:

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:



Continuation



Divisional



Continuation-in-part (CIP)

of prior application No.

Prior application information.

Examiner

Group Art Unit:

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:



Continuation



Divisional



Continuation-in-part (CIP)

of prior application No.

Prior application information

Examiner

Group Art Unit:

☐ Amend the specification by inserting before the first line the sentence(s)



"This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:



"This application is based upon:

prior application No.: _____, incorporated by reference herein,



which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:



which is based upon:

prior application No.: _____, incorporated by reference herein,



which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:



which is based upon:

prior application No.: _____, incorporated by reference herein,



which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:



which is based upon:

prior application No.: _____, incorporated by reference herein,



which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:



which is based upon:

prior application No.: _____, incorporated by reference herein,



which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:



which is based upon:

prior application No.: _____, incorporated by reference herein

."

Priority under 35 U.S.C. §119 is claimed based upon the following applications.

Foreign Applications:

Country: _____

Serial No.: _____

Filing Date: _____

Country: _____

Serial No.: _____

Filing Date: _____

Country: _____

Serial No.: _____

Filing Date: _____

Provisional Applications:

Serial No.: _____

Filing Date: _____

Serial No.: _____

Filing Date: _____

Serial No.: _____

Filing Date: _____

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Application Number	
Filing Date	
First Named Inventor	MAO
Examiner Name	
Group Art Unit	
Attorney Docket No.	A34606-PCT-USA-A

TOTAL AMOUNT OF PAYMENT (\$)**710**

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**
Deposit Account Name **Baker Botts LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ **Payment Enclosed:**

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility filing fee	710
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$)**710**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15	.20* = 0		0
1	-3** = 0		0
Multiple Dependent			

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
80	40	Independent claims in excess of 3
270	135	Multiple dependent claim, if not paid
80	40	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0**

***or number previously paid, if greater. For Reissues, see above*

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0**

SUBMITTED BY

Name (Print/Type)	Rochelle K. Seide	Registration No. (Attorney/Agent)	32,300	Telephone	(212)408-2626
Signature	<i>Rochelle K. Seide</i>	Date	08/24/2001		

Complete (if applicable)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mao et al.

Serial No.: TBA

Group No.: TBA

Filed: August 24, 2001

Examiner: TBA

SUBMISSION OF SEQUENCE LISTING

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

ATTN: BOX SEQUENCE

Dear Sir:

Applicants submit herewith a Sequence Listing in paper and computer form.

REMARKS

Applicants submit herewith a Sequence Listing in paper and computer form.

I hereby state that the content of the paper and computer readable copies of the
Sequence Listing submitted in accordance with 37 C.F.R. §1.821(c) and (e), are the same.

Respectfully submitted,



Rochelle K. Seide
PTO Reg. No. 32,300

Attorney for Applicants
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